

Precision in Partnership

Specifications & Details

Learn more about how we can
serve you at Joincfd.com



CFD COMPANIES

GROW WITH US

Service & Technology Package

B/D ONLY & DUAL LICENSED

\$350/MONTH

Adviser portal & business analytics	Client account tracking and access
Compliance services	Pershing X (formerly Albridge)
Email	Texting
Device cyber security	Password management
CRM	Cyber Insurance
Paperless business processing	

RIA ONLY

\$250/MONTH

Adviser portal & business analytics	Paperless business processing
Compliance services	Client account tracking + access
Email	Texting
Device cyber security	Password management
CRM	Cyber Insurance

Affiliation Fees

REGISTRATION FEES VARY BY STATE FROM \$20–\$255. AN IAR IS ONLY REQUIRED TO REGISTER IN HIS/HER STATE.

After initial registration, CFD will cover adviser's renewal fees in his or her home state.

An additional \$250 FINRA Branch office registration fee and a \$50 Creative Financial Designs fee will be applied to the total for advisers enrolling in dual registration.

Errors & Omissions

COVERAGE DETAILS:

\$2 million per claim + \$5 million aggregate

All securities related activities through both the Broker/Dealer and RIA. Will also cover fixed business run through cfd Investments, Inc.

COST:

B/D Only & Dual Licensed \$3,300/year (includes E&O and Drip)

RIA Only \$2,400/year for E&O and DRIP

COVERAGE PERIOD:

May 1, 2026 - April 30, 2027

Invoiced April 1

Payment due April 27

NOTE:

CFD pays every adviser's premium up-front in April each year. We allow advisers to finance the premium paid back to CFD over the course of 12 months. The monthly premium option will result in a 20% charge for having CFD finance it.

The coverage and related payment is annual. If you choose the monthly payment option, you are responsible for the entire annual premium upon any termination within the applicable period.

Management Fee Billing and Commission Processing

Broker/Dealer commissions are processed 4x/month, 48x/year. A commission schedule is prepared every September for the following year in its entirety.

RIA management fees are pulled 2x/month, 24x/year for new money added to accounts. Quarterly billings are not held for an extended duration by the firm, rather they are paid out when received.

All commissions will be set up as an electronic deposit (EFT) into the Financial Adviser's checking account. There is no charge for this service. We believe that this makes more economic sense for both the Adviser and the Broker/Dealer.

48 Broker
Dealer
Payouts

24 Registered
Investment
Adviser
Payouts



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